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Atty. Docket No. UMC-98-254 CON2  
Client Matter No. 81848.0019.002  
Express Mail Label No. EL 936771014 US

In re Application of:

Kun-Lin WU, et al

Serial No. 09/990,948

Filed: November 20, 2001

For: CHEMICAL-MECHANICAL  
POLISHING METHOD

Examiner: Unassigned

Art Unit: Unassigned

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THIRD PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.115, this Third Preliminary Amendment is submitted in supplement to a Preliminary Amendment filed November 20, 2001 and the Second Preliminary Amendment filed February 20, 2002, in the above-identified application, before the first examination and action on such application. Entry of the following amendment is respectfully requested:

IN THE CLAIMS:

Please add the following new claims 55-64:

55. (New) A chemical mechanical polishing process, comprising the steps of:

- (a) forming a conductive layer over a semiconductor substrate;
- (b) patterning said conductive layer to form interconnect lines;



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THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an Amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 35	MINUS	** 27	= 8	x 9 =	\$		x 18 =	\$ 144.00
INDEP.	* 4	MINUS	*** 3	= 1	x 42 =	\$		x 84 =	\$ 84.00
1a FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+140 =	\$		+280 =	\$ 0
					TOTAL	\$		TOTAL ADDIT. FEE	\$ 228.00

\* If the entry in Col. 1 is less than the entry in Col.2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate of this sheet is attached.

☒ A check in the amount of \$ 228.00 is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees, or fee deficiencies, associated with this communication or credit any overpayment to Deposit Account No. 50-1123. A duplicate copy of this sheet is attached.

☐ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☐ Any patent application processing fees under 37 CFR 1.17.

Dated: March 19, 2002

Jed W. Caven, Reg. No. 40551  
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1200 17<sup>th</sup> Street, Suite 1500  
Denver, Colorado 80202  
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3-21-02

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CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

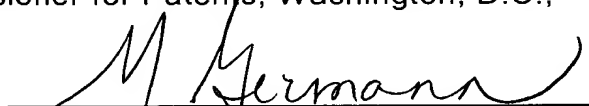
The undersigned hereby certifies that the following documents:

1. Third Preliminary Amendment;
2. Fee Transmittal Cover Sheet
3. Certificate of Mailing by Express Mail; and
4. Return postcard

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL 936771014 US with the United States Postal Service; addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231, on March 19, 2002

March 19, 2002

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